## Unpacking the combinatorics of modular operads

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Operads Pop-Up

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#### Outline

- 1. Definitions and Examples
- 2. Overview of results and methods
- 3. Graphs and loops
- 4. Combinatorics of units

## Modular operads

We develop a 'higher genus' analogue of operads ...in which graphs replace trees in the definition

Abstract, Getzler-Kapranov 98







Getzler, E. and Kapranov, M. M. Modular operads

Compositio Mathematica, 110(1):65–126, 1998.

#### Notation

P: groupoid of finite sets and bijections

$$\mathbf{n} = \{1, \dots, n\}, \qquad \mathbf{0} = \emptyset.$$

## Definition 1

#### A modular operad is a

1. Functor  $S: \mathbb{P}^{op} \to \mathsf{Set}$ 







2. together with a multiplication  $\diamond: S_{X \amalg \{x\}} \times S_{Y \amalg \{y\}} \xrightarrow{\mathcal{S}} S_{X \amalg Y}$ ,









# Modular operads

In this talk, definition modular operads will correspond to compact symmetric multicategories introduced by Joyal and Kock, 2011.

coloured





o involutive colour set



with multiplicative unit









Joval, A. and Kock, J., Fevnman Graphs, and Nerve Theorem for Compact Symmetric Multicategories (Extended Abstract) Electronic Note in Theoretical Computer Science, 270(2):105-113, 2011.

# Graphical species (Joyal-Kock, 2011)

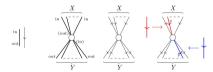
$\mathbb{P}^{\circlearrowleft}$	$GS \stackrel{def}{=} PSh(\mathbb{P}^{\circlearrowleft})$
	A graphical species $S$ is described by:
$\ensuremath{\mathbb{P}}$ - groupoid of finite sets and bijections is full subcategory.	$\mathbb{P}$ -presheaf $(S_X)_X$ , a symmetric sequence or combinatorial species,
plus a distinguished object $\S$ with $\mathbb{P}^{\circlearrowleft}(\S,\S)=\{1,\tau\}, \tau^2=1$	together with a pair $(\mathfrak{C},\omega)$ of a set $\mathfrak{C}=S_\S$ and involution $\omega=S(\tau)$ .
For each $X$ , and $x \in X$ , morphisms $ch_x, \ ch_x \circ \tau : \S \longrightarrow X$ .	for all $X$ , for all $x \in X$ , a map $S(ch_x): S_X  o \mathfrak{C}$ .

The boundary  $\partial \phi$  of  $\phi \in S_X$  is  $(S(ch_x))_{x \in X}(\phi) \in \mathfrak{C}^X$ .

## Graphical species - examples

- 1. terminal species:  $\S \mapsto \{*\}$ ,  $X \mapsto \{*\}$  for all X.
- 2. directed species:

Di is terminal species on  $(\mathfrak{Di}, \sigma_{\mathfrak{Di}})$ :  $\mathfrak{Di} = \{\text{in}, \text{out}\}, \ \sigma_{\mathfrak{Di}} \neq 1$ .



3. Feynman diagrams (particle interactions):



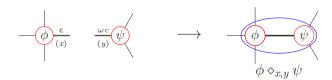
## Multiplication.

#### Glue two elements along dual colours in boundaries:

Partial map

$$\diamond^{X,Y}_{x,y}:S_{X\amalg\{x\}}\times S_{Y\amalg\{y\}}\twoheadrightarrow S_{X\amalg Y}.$$

commutative, equivariant with respect to  $\mathbb{P}$  action



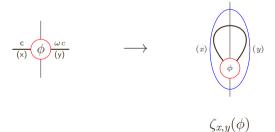
#### Contraction.

#### Self-gluing of one element along involutive pair of colours in its boundary:

Partial operation

$$\xi_{x,y}^X = \xi_{y,x}^X : S_{X\coprod\{x,y\}} \rightarrow S_X,$$

equivariant with respect to  $\mathbb{P}$  action.



#### Unit for ⋄.

Unit: injection  $\epsilon : \mathfrak{C} = S_{\S} \rightarrowtail S_{\mathbf{2}}$ :

$$\phi \diamond \epsilon(c) = \phi = \epsilon(c) \diamond \phi \quad \text{ wherever defined,}$$
 
$$\epsilon \circ \omega = S(\sigma) \circ \epsilon, \text{ where } \sigma \in Aut(\mathbf{2}), \sigma \neq id$$

So 
$$\partial(\epsilon(c)) = (c, \omega c)$$
.

A  $(\mathfrak{C},\omega)$ -coloured modular operad  $(S,\diamond,\zeta,\epsilon)$  is equipped with a contracted unit map

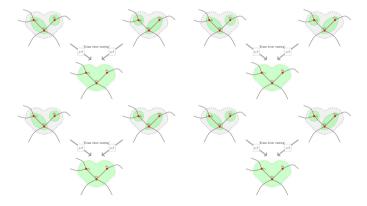
$$o: \mathfrak{C} \longmapsto S_{\mathbf{0}}, \quad c \longmapsto \zeta \epsilon(c).$$

For all  $c \in \mathfrak{C}$ 

$$o(c) = o(\omega c).$$

## Category MO of modular operads

Objects:  $(S, \diamond, \zeta, \epsilon)$  with 4 axioms that generalise associativity



Morphisms in GS that preserve  $(\diamond, \zeta, \epsilon)$ .

# Examples

## Oriented surfaces with closed boundary

elements of S<sub>8</sub>

# Undirected virtual tangles





elements of  $T_{2s}$ , s=3





# Oriented surfaces with open - closed boundary elements of $OC_{\bf a}$











# Compact closed categories e.g. cobordism categories

Wheeled properads (Directed modular operads) e.g. directed virtual tangles





#### Main theorems

## Theorem (Joyal - Kock 2011, R. 2018/20, Hackney-Robertson-Yau 2020)

There is a category GS of coloured collections – called graphical species – and a monad  $\mathbb O$  on GS whose Eilenberg-Moore category of algebras  $\mathsf{GS}^\mathbb O$  is canonically isomorphic to the category MO of modular operads.

## Theorem ( Joyal - Kock 2011, R. 2018, Hackney-Robertson-Yau 2020)

There is a full, dense subcategory  $\Xi$  of MO whose objects are graphs. The essential image of the induced fully faithful nerve  $N \colon \mathsf{MO} \to \mathsf{PSh}(\Xi)$  is characterised by Segal presheaves.

#### A little context

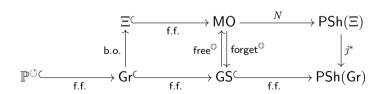
- Stated by Joyal and Kock (2011), who consructed the category GS and an endofunctor on GS whose algebras are modular operads.
   However, this functor does not admit a monadic multiplication.
- Proof R. (2018).
- Hackney, Robertson and Yau (2020) have recently proved versions of these theorems by different methods, with explicit goal of defining ∞-modular operads.

The point of this talk is not these results, but to use their proof to understand more about the combinatorics.

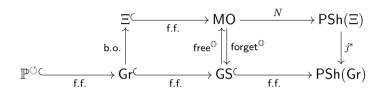
## The plan

## Theorem (Joyal - Kock 2011, R. 2018/20, Hackney-Robertson-Yau 2020)

There is a category GS of coloured collections – called graphical species – and a monad  $\mathbb O$  on GS whose Eilenberg-Moore category of algebras  $\mathsf{GS}^{\mathbb O}$  is canonically isomorphic to the category MO of modular operads.



## The plan



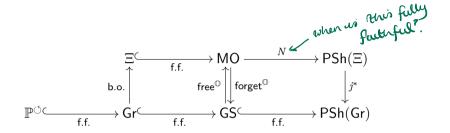
### Theorem ( Joyal - Kock 2011, R. 2018, Hackney-Robertson-Yau 2020)

There is a full, dense subcategory  $\Xi$  of MO whose objects are graphs. The essential image of the induced fully faithful nerve  $N: MO \to PSh(\Xi)$  is characterised by Segal presheaves:

For all graphs G

$$P(\mathcal{G}) \cong \lim_{(C,b) \in \mathbb{P}^{\circlearrowleft} \downarrow \mathcal{G}} P(C).$$

## Abstract nerve theory



#### Weber, 2007:

If  $\mathbb{O}$  has arities Gr, then N is fully faithful and it's essential image is characterised by Segal presheaves:

For all graphs  ${\cal G}$ 

$$P(\mathcal{G}) \cong \lim_{(C,b) \in \mathbb{P}^{\circlearrowleft} \downarrow \mathcal{G}} P(C).$$

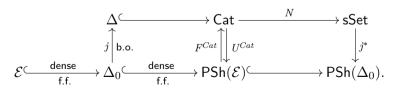
## Example 1: Classical nerve theorem for categories

#### Segal condition for categorical nerve theorem:

 $P:\Delta^{op}\to\mathsf{Set}$  is the nerve of a category if and only if for all  $n\geq 2$ ,

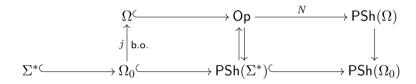
$$P_n \cong \underbrace{P_1 \times_{P_0} \cdots \times_{P_0} P_1}_{n \text{ times}}.$$

#### Weber picture:



## Example 2: Dendroidal nerve theorem for operads

 $\Sigma^*$ : Objects:  $n \in \mathbb{N}$ , distinguished edge  $\downarrow$   $\Sigma^*(\downarrow, n) \cong \{0, 1, \dots, n\}$ .



 $P:\Omega^{op}\to\mathsf{Set}$  is the nerve of an operad if and only if,

$$P(T) \cong \lim_{(t,f) \in \Sigma^* \downarrow T} P(j(t)).$$

## The key results: Distributive law

#### Theorem (R. 2020)

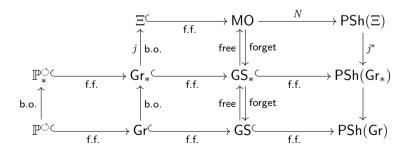
There are monads  $\mathbb{T}=(T,\mu^{\mathbb{T}},\eta^{\mathbb{T}})$  and  $\mathbb{D}=(D,\mu^{\mathbb{D}},\eta^{\mathbb{D}})$  on GS and a distributive law  $\lambda:TD\Rightarrow DT$  such that  $\mathbb{O}=\mathbb{DT}$  on GS.

## The key results: Distributive law

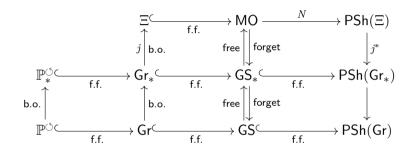
### Theorem (R. 2020)

There are monads  $\mathbb{T}=(T,\mu^{\mathbb{T}},\eta^{\mathbb{T}})$  and  $\mathbb{D}=(D,\mu^{\mathbb{D}},\eta^{\mathbb{D}})$  on GS and a distributive law  $\lambda:TD\Rightarrow DT$  such that  $\mathbb{O}=\mathbb{DT}$  on GS.

Let  $GS_*$  be the category of  $\mathbb{D}$ -algebras.



## The key results: Lift has arities



### Lemma (R. 2018)

There is a full, dense subcategory  $Gr_*$  of  $GS_*$  such that the induced monad  $\mathbb{T}_*$  on  $GS_*$ , has arities  $Gr_*$ .

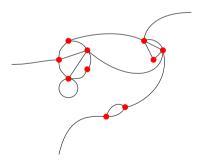
# Why is this interesting?

- (i) Proof of Joyal and Kock's theorem using the originally intended methods: Weber nerve machinery and, in particular Berger-Mellies-Weber, 2012.
- (ii) The proof exhibits the combinatorics of these structures explicitly. In particular it reveals where we need to take extra care.
- (iii) Abstract methods place structures in a wider context.

  Results from elsewhere may be generalised to modular operads.
- (iv) Proof method suggests ways of building related constructions.

The configurations of formal composites are now general connected graphs, more precisely what we call Feynman graphs: they are (non-directed) graphs, allowed to have multiple edges and loops, as well as open edges.

Introduction, Joyal-Kock 2011



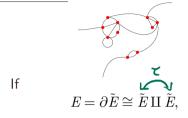
# Graph category Gr - objects

Think of  $\S$  are graph with two open ends that can be permuted, Think of X as corolla.

$$\S \longmapsto {1 \atop 1} \qquad \{x\} \longmapsto {x \atop x^{\dagger}} \qquad \mathbf{3} \longmapsto \boxed{1}$$

In general  ${\cal G}$  has

- a finite set V of vertices,
- a finite set  $\tilde{E}$  of edges (copies of  $\S$ )



 ${\cal G}$  is described by a partial map

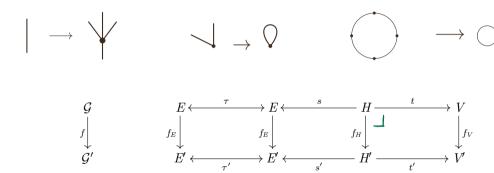
$$E \rightarrow V$$

So  $\mathcal{G}$  is a diagram

$$\tau \longrightarrow E \longleftarrow \stackrel{s}{\longrightarrow} H \longrightarrow V.$$

# Graph category Gr

Morphisms are local isomorphisms – they preserve vertex valency.



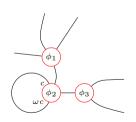
There are fully faithful dense embeddings

$$\mathbb{P}^{\circlearrowleft} \xrightarrow{\iota} \mathsf{Gr} \xrightarrow{\mathcal{G} \mapsto \mathsf{Gr}(\iota - \mathcal{G})} \mathsf{GS}$$

S is a graphical species. Build a species  $T \circ S$  of formal combinations of elements of S:

$$T \circ S(\S) = S_{\S} = (\mathfrak{C}, \omega).$$

 $T \circ S_X$ : equivalence classes of graphs  $\mathcal{G}$ , with  $\partial \mathcal{G} \cong X$ , decorated by S:



$$S(\mathcal{G}) \stackrel{\mathsf{def}}{=} \lim_{(Y,b) \in (\mathbb{P}^{\circlearrowleft} \downarrow \mathcal{G})} S_Y$$

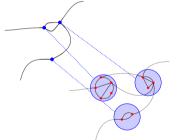
Colimit over graph isomorphisms that fix the bijections

$$X \cong \partial \mathcal{G}$$
.

#### Monadic unit:



#### Monadic multiplication?

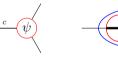


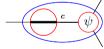
Take colimits of functors

$$(\mathbb{P}^{\circlearrowleft}\downarrow\mathcal{G})\rightarrow(\mathsf{Gr}\downarrow\mathit{S}),\ (\mathit{X},\mathit{f})\mapsto(\mathcal{G},\alpha),\partial\mathcal{G}=\mathit{X}$$

that preserve boundaries and incidence.

## Units for operadic multiplication?

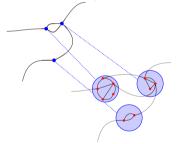




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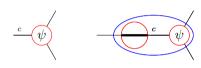


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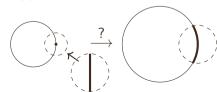
$$(\mathbb{P}^{\circlearrowleft} \downarrow \mathcal{G}) \to (\mathsf{Gr} \downarrow S), \ (X, f) \mapsto (\mathcal{G}, \alpha), \partial \mathcal{G} = X$$

that preserve boundaries and incidence.

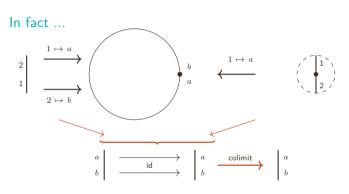
#### Units for operadic multiplication?







# Loops?



But we still need to take a colimit.

$$\begin{bmatrix} a \\ b \end{bmatrix} \xrightarrow{\text{id}} \begin{bmatrix} a \\ b \end{bmatrix}$$

Can we add this object?

No!

We need

$$\zeta(\epsilon c) = \zeta(\epsilon(\omega c)), \forall c.$$

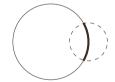


If the kids won't play nicely,

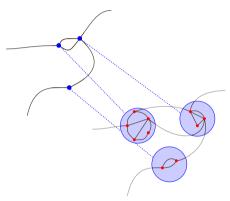
Separate them!

#### Non-unital monad

#### Besides this obstruction,



everything works fine.



#### Don't allow substitution by §.

Then there's a well defined monad  $\mathbb{T}=(T,\mu^{\mathbb{T}},\eta^{\mathbb{T}})$  on GS that governs contraction and multiplication. Just not multiplicative units.

#### Combinatorics of units 1: The monad

If S has a unital multiplication  $\epsilon: \mathfrak{C} \to S_2$ , then it has distinguished elements  $S_2$ :

$$\epsilon(c) \in S_2$$
, for all  $c \in \mathfrak{C}$ ,

... but also in  $S_0!$ 

$$o(c) = \zeta \epsilon(c) = o(\omega c)$$
, for  $c \in \mathfrak{C}$ .

So, take endofunctor  $D: \mathsf{GS} \to \mathsf{GS}$  that adjoins these elements:

- for each  $c \in \mathfrak{C}$ , add an extra element  $\epsilon_c^+$  to  $S_2$ ,
- for each orbit  $\tilde{c}$  of  $\omega$  in  $\mathfrak{C}$ , add an extra element  $o_{\tilde{c}}^+$  to  $S_0$ ,

This extends to a monad  $\mathbb{D} = (D, \mu^{\mathbb{D}}, \eta^{\mathbb{D}})$  on GS.

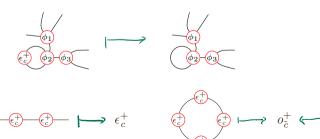
#### Distributive law

#### Natural transformation $\lambda: TD \Rightarrow DT$



If all vertices are decorated by S, do nothing!

If  $\mathcal{G}$  has vertices decorated by S, delete any vertices decorated by  $\epsilon^+$ 



#### A solution!

## Theorem (R. 2020)

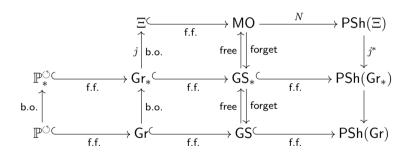
There are monads  $\mathbb{T}=(T,\mu^{\mathbb{T}},\eta^{\mathbb{T}})$  and  $\mathbb{D}=(D,\mu^{\mathbb{D}},\eta^{\mathbb{D}})$  on GS and a distributive law  $\lambda:TD\Rightarrow DT$  such that  $\mathbb{O}=\mathbb{DT}$  on GS.

Let  $GS_*$  be the category of  $\mathbb{D}$ -algebras.

### Theorem (R. 2018)

There is a full, dense subcategory  $Gr_*$  of  $GS_*$  such that the induced monad  $\mathbb{T}_*$  on  $GS_*$ , has arities\*  $Gr_*$ .

#### A solution!



### Combinatorics of units 2: Graph morphisms

#### Endofunctor $D: \mathsf{GS} \to \mathsf{GS}$ that adjoins:

- for each  $c \in \mathfrak{C}$ , add an extra element  $\epsilon_c^+$  to  $S_2$ ,
- for each orbit  $\tilde{c}$  of  $\omega$  in  $\mathfrak{C}$ , add an extra element  $o_{\tilde{c}}^+$  to  $S_{\mathbf{0}}$ ,

#### What do the algebras look like?

Triples  $(S, \epsilon, o)$ 

- $\circ$  S is  $(\mathfrak{C}, \omega)$  graphical species
- $\bullet \ \epsilon : \mathfrak{C} \to S_2$  is injective unit.
- $o: \mathfrak{C} \to S_0$  factors through  $\mathfrak{C}/\omega$ .

# Pointed graphical species

$GS_* \stackrel{def}{=} Alg(\mathbb{D})$
A pointed graphical species $(S,\epsilon,o)$ is: a $(\mathfrak{C},\omega)$ -graphical species $S$ ,
$\epsilon: \mathfrak{C}  o S_{2}$ is injective unit
$o: \mathfrak{C}  ightarrow S_{f 0}$ factors through $\mathfrak{C}/\omega$ .

# Pointed graphical species

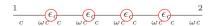
$\mathbb{P}_*^{\circlearrowleft}$	$GS_* \stackrel{def}{=} PSh(\mathbb{P}_*^{\circlearrowleft})$
$\mathbb{P}^\circlearrowleft$	A $\mathbb{P}_*^{\circlearrowleft}$ -presheaf $S_*$ is: a $(\mathfrak{C},\omega)$ -graphical species $S$ ,
with adjoined morphisms: $ \begin{split} u: 2 &\to \S \text{ such that} \\ & - u \circ ch_1 = id_\S \qquad u \circ ch_2 = \tau, \\ & - \tau \circ u = u \circ \sigma_{2} \in \mathbb{P}^{\circlearrowleft}(2,\S), \end{split} $	$\epsilon = S_*(u): {\mathfrak C}  o S_{f 2}$ is injective unit
$z: 0 \to \S$ $z = \tau \circ z$	$o = S_*(z) : \mathfrak{C} \to S_0.$

### What should the monad $\mathbb{T}_*$ on $\mathsf{GS}_*$ do?

#### Ignore vertices decorated by units

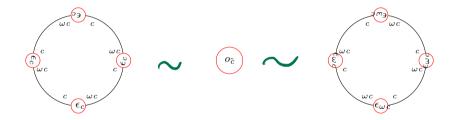


Units?



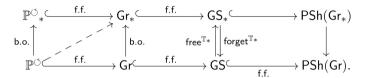
### What should the monad $\mathbb{T}_*$ on $\mathsf{GS}_*$ do?

#### Contracted units? Identify **0**-graphs decorated by (contracted) units



How does this work?

## More graph morphisms



Factorisation on Gr. Right: Morphisms from Gr.

Left: Delete bivalent vertices as long as there is at least one remaining vertex (preserves graph boundary), and Special morphisms

$$o u: \mathbf{2} \to \S$$
.

$$\circ z: \mathbf{0} \to \S$$

$$\circ \ \kappa: \mathcal{W} \to \S.$$

### The lifted monad $\mathbb{T}_*$ on $\mathbb{D}$ algebras



If all vertices are decorated by S, do nothing!

If  $\mathcal{G}$  has vertices decorated by S, delete any vertices decorated by  $\epsilon^+$ 



Otherwise







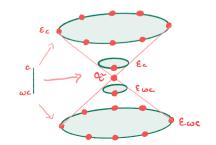


### A monad for modular operads?

Morphisms in left class of  $Gr_*$ -factorisation preserve boundary except at  $z: \mathbf{0} \to \S$  and  $\kappa: \mathcal{W}^m \to \S$ .

$$T_*S_\S = S_\S = (\mathfrak{C}, \omega).$$

 $T_*S_X$ : equivalence classes of graphs  $\mathcal{G}$ , with  $\partial \mathcal{G} \cong X$ , decorated by S:



$$S(\mathcal{G}) \stackrel{\mathsf{def}}{=} \lim_{(Y,b) \in (\mathbb{P}^{\circlearrowleft} \cup \mathcal{G})} S_Y$$

Colimit over graph morphisms in the left class with fixed bijection

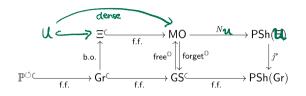
$$X \cong \partial \mathcal{G}$$

except at  $(\S, c)$ .

#### Remarks on construction

- No loop objects added to the construction.
- $z: \mathbf{0} \to \S$  comes directly from the definition
- $\kappa: \mathcal{W} \to \S$  gives contraction.
- $\circ$  Obtain multiplication for monad  $\mathbb{T}_*$  by looking at only nice representatives.

#### Weak modular operads: Hackney, Robertson and Yau, 2020



#### Theorem (Hackney, Robertson, Yau, 2020)

The graphical category  $U \subset \Xi$  is dense in MO.

Essential image of nerve satisfies the strict Segal condition.

There is a model category structure on  $sSet^{\mathbf{U}^{\tilde{o}p}}$  whose fibrant objects are those S satsifying the weak Segal condition:

for all  $\mathcal{G}$ ,

$$S(\mathcal{G}) \simeq \lim_{(C,b)\mathbb{P}^{\circlearrowleft} \downarrow \mathcal{G}} S(C).$$

### Weak modular operads: Corollary and observation

It follows from the proof and Caviglia and Horel, 2016

#### Corollary (Raynor, 2020)

There is a model category structure on  $Set^{\Xi^{op}}$  whose fibrant objects are those S satsifying the weak Segal condition: for all G,

$$S(\mathcal{G}) \simeq \lim_{(C,b)\mathbb{P}^{\circlearrowleft} \downarrow \mathcal{G}} S(C)$$

It remains to compare the versions of weak modular operads so obtained.

## Extending the framework, concluding remarks.

#### **Directions**

- Circuit algebras/ modular operads with product and nerve theorem.
- Higher modular operads

#### **Applications**

- Extended cobordism categories.
- geometric applications from the cone..

#### To be continued...

Definitions and Examples Overview of results and methods Graphs and loops Combinatorics of units

### THANK YOU!